

CERTIFICATION FOR PARTICIPANTS

1. Instruction for Certification

All individuals applying to participate in an NEH-funded program are required to certify that they are not presently debarred, suspended, declared ineligible, or voluntarily excluded from participating in this program by another federal department or agency. Please sign and date the certification statement in section 3 or provide an explanation of why you cannot certify. Failure to furnish a certification or an explanation shall disqualify the applicant from participating in the program.

The certification is a material representation of fact. If it is later determined that the participant knowingly provided an erroneous certification, the applicant's participation in the program will be terminated, and the applicant will be required to return any federal funds advanced.

2. Explanation of terms in the Certification

Debarment: An action taken by a debaring official in accordance with 45 CFR Part 1169 to exclude a person from participating in covered transactions. A person so excluded is "debarred."

Ineligible: Excluded from participation in federal programs pursuant to a determination of ineligibility under statutory authority other than Executive Order 12549 and NEH's implementing regulations.

Principal: This term applies only to organizations that make application to federally-funded programs. It does not apply to individuals.

Prospective Lower Tier Participant: An individual or organization applying to participate in an NEH-funded program would be a prospective lower tier participant. **Lower tier** refers to the fact that the participant receives funding through an NEH grantee rather than from NEH directly.

Suspension: An action taken by a suspending official in accordance with 45 CFR Part 1169 that immediately excludes a person from participating in covered transaction for a temporary period, pending completion of an investigation. A person so excluded is "suspended."

Voluntary Exclusion or Voluntarily Excluded: The status of nonparticipation or limited participation in a covered transaction assumed by a person pursuant to the terms of a settlement.

3. Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion – Lower Tier Covered Transactions

The prospective lower tier participant certifies by submission of this proposal that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

(Signature)

(Date)

(Printed Name)